

FORM PTO-1449	Atty. Docket No.: 169.12-0576	Application No.:
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Roger Lee Hipwell, Jr., et al.	
	Filing Date: Herewith	Group Art:

U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents
AA	5,764,444	06-09-1998	Imamura et al.
AB	5,781,381	07-14-1998	Koganezawa et al.
AC	5,863,024	01-26-1999	Blind et al.
AD	5,867,347	02-02-1999	Knight et al.
AE	5,898,544	04-27-1999	Krinke et al.
AF	5,936,805	08-10-1999	Imaino
AG	5,945,898	08-31-1999	Judy et al.
AH	5,808,384	09-15-1998	Tabat et al.
AI	5,959,808	09-28-1999	Fan et al.
AJ	US 6,396,667 B1	05-28-2002	Zhang et al.
AK			

FOREIGN PATENT DOCUMENTS

	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Translation Yes No
AL				
AM				
AN				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AO	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

First Named Inventor	: Roger Lee Hipwell, Jr., et al.	Group Art Unit: Examiner:
Appln. No.	:	
Filed	: Herewith	
Title	: IMPROVED MAGNETIC MICROACTUATOR FOR DISC DRIVES WITH INTEGRATED HEAD CONNECTIONS AND LIMITERS	
Docket No.	: 169.12-0576	

INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The enclosed PTO Form-1449 lists patents and publications submitted pursuant to 37 C.F.R. 1.97. Copies of the patents or publications are enclosed as necessary.

TIME OF FILING

The Information Disclosure Statement is being filed:

1. ☐ with the application or within three months of the filing date of a national application (other than a continued prosecution application under 37 C.F.R. 1.53(d)) or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits or a first office action after the filing of a request for continued examination under 37 C.F.R. 1.114, whichever event occurs last. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.
2. ☒ after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 C.F.R. 1.113 or notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution of the application. In accordance with 37 C.F.R. 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. 1.97(e), no fee is required.
- B. ☒ the fee set forth in 37 C.F.R. 1.17(p) for submission of an Information Disclosure Statement under 37 C.F.R. 1.97(c).

3. — after the mailing date of either a final action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first, but on or before payment of the issue fee. Applicant petitions for consideration of this Information Disclosure Statement pursuant to 37 C.F.R. 1.97(d)(2). Applicant submits herewith:
- A. a statement as specified in 37 C.F.R. 1.97(e); and
 - B. the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

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